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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen R. Bacso, Rene J. Juneau, Sanjeev Shankar, and Bruce D. Scanlan Examiner: Unknown  
Serial No.: 09/991,563 Group Art Unit: 2171  
Filed: November 20, 2001 Docket No.: 1028-009US01  
Title: METHOD AND SYSTEM FOR DATAFLOW MANAGEMENT IN A COMMUNICATIONS NETWORK

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

FEB 1, 2002

By: Samantha J. Rupert  
Name: Samantha J. Rupert

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Sir:

We are transmitting herewith the attached correspondence relating to this application:

- ☒ Transmittal sheet containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney (4 pgs.)
- ☒ Part 2 - Notice to File Missing Parts of Nonprovisional Application (2 pgs.)
- ☒ Certified Copy of Priority Document
- ☒ Check in the amount of \$561.00 (\$496.00 for deferred filing fee and \$65.00 for missing parts surcharge)
- ☒ Return postcard

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Date:

January 25, 2002

By:

Kent J. Sieffert  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,563	11/20/2001	Stephen R. Bacso	1028-009US01

28863  
SHUMAKER & SIEFFERT, P. A.  
150 GATEWAY CORPORATE CENTER 1  
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CONFIRMATION NO. 3486

## FORMALITIES LETTER



\*OC000000007151024\*

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

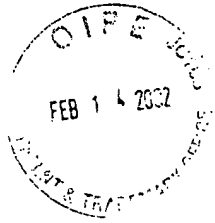
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$126.
  - \$126 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 561.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

*A copy of this notice **MUST** be returned with the reply.*



*[Handwritten signature]*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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